



IFW

Docket No. ETH5084USPCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sanyog M. Pendharkar et al.

Serial No. : 10/567388

Art Unit:

Filed : February 7, 2006

Examiner: N/A

For : **HEMOSTATIC COMPOSITIONS CONTAINING STERILE
THROMBIN**

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April 20, 2006

(Date of Deposit)

Blossom E. Loo

(Name of applicant, assignee, or Registered Representative)

/Blossom E. Loo/

(Signature)

April 20, 2006

(Date of Signature)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2).

☐ There are no listed references which are not in the English language.

☒ The relevance of those listed references which are not in the English language is as follows: JP 62228009 - see English abstract attached.

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/ETH5084USPCT/BEL. This form is submitted in triplicate.

Respectfully submitted,

/Blossom E. Loo/

Blossom E. Loo
Reg. No. 36,858
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DATED: April 20, 2006



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

Application Number	10/567388
Filing Date	February 7, 2006
First Named Inventor	Sanyog M. Pendharkar
Group Art Unit	
Examiner Name	N/A
Attorney Docket Number	ETH5084USPCT

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		2507244		Correll	05-09-1950	
		4655211	A	Sakamoto et al.	04-07-1987	
		5143838		Kraus et al.	09-01-1992	
		5733572		Unger et al.	03-31-1998	
		5908054		Safabash et al.	06-01-1999	
		6045570		Epstein	04-04-2000	
		6063061		Wallace et al.	05-16-2000	
		6066325		Wallace et al.	05-23-2000	
		6566345		Miller et al.	05-20-2003	
		2002/0042378	A1	Reich et al.	04-11-2002	
		2002/0193448		Wallace et al.	12-19-2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
		EP	0740528	B1	Imarx Therapeutics, Inc.	03-26-2003		X
		EP	0927053	B1	Fusion Medical Tech., Inc.	04-02-2003		X
		WO	98/08550	A1	Fusion Medical Tech., Inc.	03-05-1998		X
		WO	00/76533	A1	Fusion Medical Tech., Inc.	12-12-2000		X
		WO	01/97826	A2	U. Med. & Dentistry of NJ	12-27-2001		X
		WO	01/97873	A2	Biering	12-27-2001		X
		WO	02/072128	A1	Sub-Q, Inc.	09-19-2002		X

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ⁶ If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 3

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		Number	Kind Code ² (if known)			
		2003/0064109		Qian et al.	04-03-2003	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵				
		WO	03/007845	A1	Baxter Int'l., Inc.	01-30-2003		X
		WO	03/055531	A2	Ferrosan	07-10-2003		X
		WO	2005/016256	A	Ethicon, Inc.	02-24-2005		X
		WO	2005/016257	A	Ethicon, Inc.	02-24-2005		X
		JP	62228009	A	Nitto Electric Ind. Co.	10-06-1987		

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Inventor	Sanyog M. Pendharkar
Group Art Unit	
Examiner Name	N/A
Attorney Docket Number	ETH5084USPCT

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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